

STATE OF INDIANA
OFFICE OF THE SECRETARY OF STATE
SECURITIES DIVISION

FILED
MAR 10 1997

IN THE MATTER OF:

ORDER NO. 97-0003 AO

RULE AMENDING 710 IAC 1-8-1 TO
ADOPT A NEW DEFINITION OF BRANCH
OFFICE

ORDER ADOPTING A RULE AMENDING 710 IAC 1-8-1
TO ADOPT A NEW DEFINITION OF BRANCH OFFICE

Comes now Bradley W. Skolnik, Indiana Securities Commissioner, and pursuant to the authority granted under IC 23-2-1-15, finds as follows:

1. The Indiana Securities Division caused to be published in the November 1, 1996 edition of the Indiana Register (20 Ind. Reg. 620 [1996]), a proposed rule amending 710 IAC 1-8-1 to adopt a new definition of broker-dealer branch office and to make certain nonsubstantive revisions to comply with the Administrative Rules Drafting Manual (IC 4-22-8-4(a)(2)).

2. The Indiana Securities Division caused to be published on November 5, 1996, a notice in a newspaper of general circulation in Marion County, to wit: The Indianapolis Star and News, describing the proposed rule and disclosing the date, time and location of a public hearing regarding the rule.

3. A public hearing in regard to the proposed rule was held on December 4, 1996 at 10:00 a.m. at the Office of the Secretary of State, Securities Division, 302 West Washington Street, Room Elll, Indianapolis, Indiana.

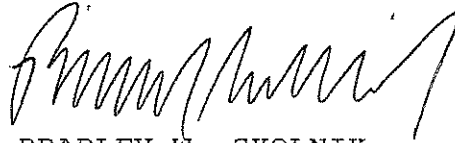
4. The Securities Commissioner hereby finds that the proposed rule (710 IAC 1-8-1) should be adopted in the same form as that provided in LSA Document No. 96-132 published in the November 1, 1996 edition of the Indiana Register (20 Ind. Reg. 620 [1996]).

IT IS THEREFORE ORDERED that the rule (710 IAC 1-8-1) amending 710 IAC 1-8-1 to adopt a new definition of broker-dealer branch office and to make certain nonsubstantive revisions to comply with the Administrative Rules Drafting Manual (IC 4-22-8-4(a)(2)) attached hereto is ADOPTED.

IT IS FURTHER ORDERED AND DIRECTED that the rule (710 IAC 1-8-1) adopting a new definition of broker-dealer branch office and making certain nonsubstantive changes to comply with the Administrative Rules Drafting Manual attached hereto be submitted to the Attorney General and the Governor of Indiana for their approval as required under IC 4-22-2.

ORDERED at Indianapolis, Indiana, this 10th day of March, 1997

SUE ANNE GILROY
SECRETARY OF STATE



BRADLEY W. SKOLNIK
INDIANA SECURITIES COMMISSIONER

